# Performance Outcomes Data Collection and Submission Training

California Department of Mental Health
Performance Outcome & Quality
Improvement Unit (POQI)
May 2006

#### Welcome From the Pool Unit



Back (from left): Alice, Candace, Stephanie, Maureen, Marti Front: Kari, Brenda, Traci, Minerva

#### Overview of Training

- Data collection methodology
- Confidentiality issues
- County staff preparation
- Collecting the data
- Data submission options
- Getting your data back



### DATA COLLECTION METHODOLOGY

# Data Collection Methodology Target Population



**⇒** Face-to-face services:

Case Management

Day Treatment

Meds only

(Funding source doesn't matter)

# Data Collection Methodology Target Population

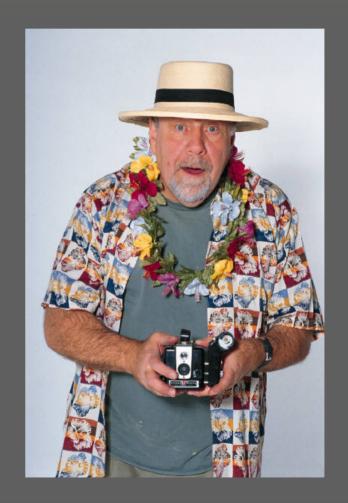
#### **⇒** Exclude:

Hospitalized Jailed Crisis Long-term Residential Individual/group contract managedcare network providers



#### Data Collection Methodology

- ⇒ "Snap-shot" or "Pointin-time" approach
- New sample every time (twice a year)
- Two week data collection period



#### 3 Data Submission Options

- **Option 1:** On-line key/mouse data entry (Key Entry)
- Option 2: Local scanning & web-based data verification (Scan & Verify)
- ⇒ Option 3: ITWS Web-based text data upload (ITWS)

#### Instrumentation



- Adult Survey
- Older Adult Survey
- Youth Services Survey (YSS)
- Youth Services Survey for Families (YSS-F)

#### HIPAA & Confidentiality

- State Law (W&I code sec. 5610) requires the collection of performance outcome data
- ⇒ HIPAA requirements for authorizations from consumers **DO NOT APPLY!**
- Rest of Privacy Rules do apply



### COUNTY STAFF PREPARATION

#### County Staff Preparation

- Survey form characteristics
- Down loading forms
- Printing forms
- Preparing forms
- Administering the survey
- Staff authorization
- Confidentiality



#### What Survey Forms to Use??

- Do NOT use forms from previous surveys
- Must use DMH Forms for Scan&Verify Option
- Key Entry and ITWS users may use DMH forms
- DIY must follow data dictionaries <a href="http://www.dmh.ca.gov/POQI/documents.asp">http://www.dmh.ca.gov/POQI/documents.asp</a>

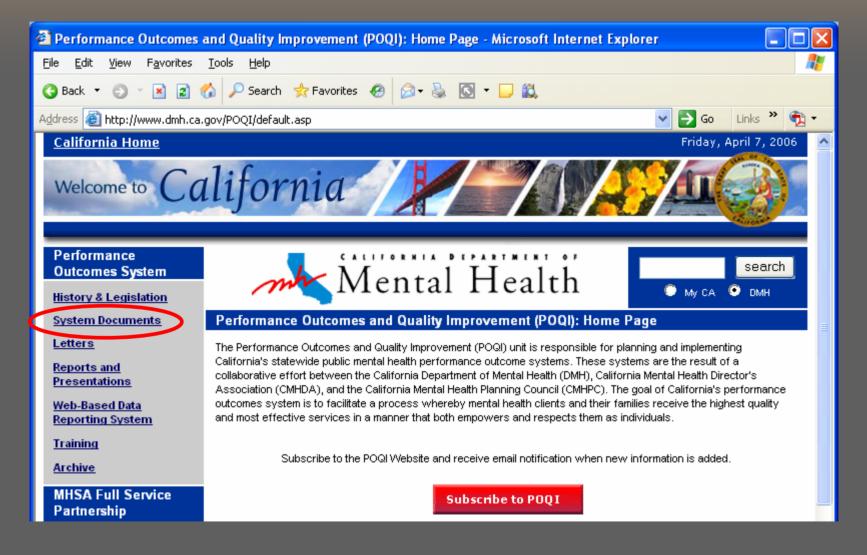
#### Age Appropriate Forms

- Older Adults age 60 + get Older Adult Form \*May 2005 24% older adults were under 60 (Wrong form or Wrong age?)
  \* Nov 2004 over 20%
- ⇒ Youth age 13-17 and Transitional Aged Youth get the Youth Survey
- ⇒ Adults age 18-59 get Adult Survey

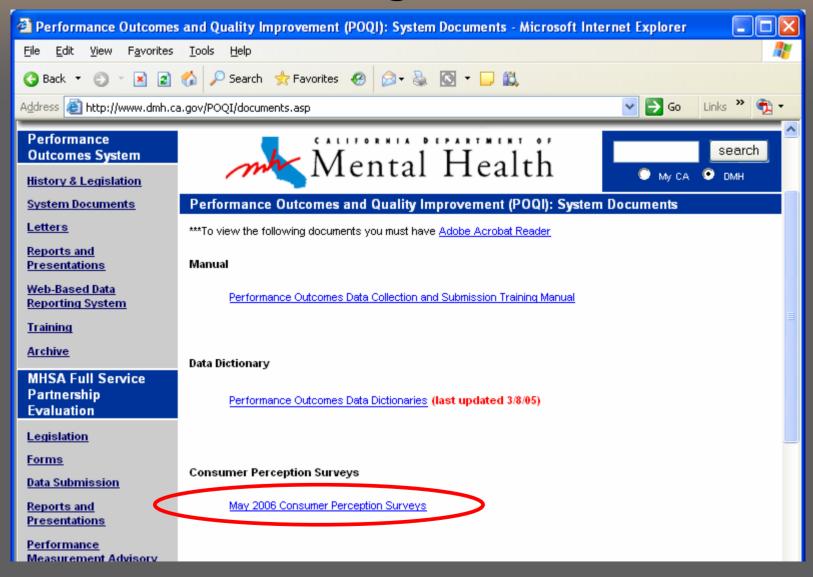
#### DMH Survey Form Characteristics

- ⇒ Teleform system forms 4 'Posts' for Teleform reader, unique Key
- Page linking number CSI CCN Not prefilled boxes

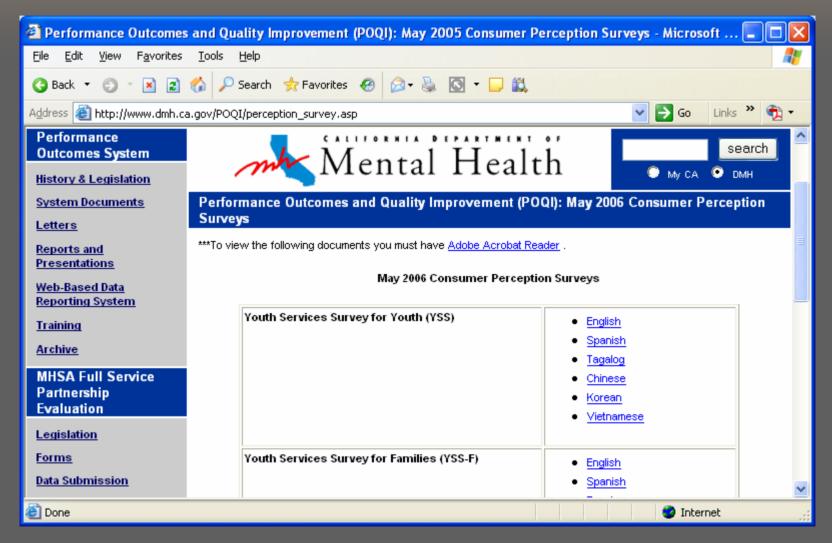
### Downloading Forms



#### Downloading Forms cont.



### Downloading Forms (Cont.)



### Finish Downloading

Save the survey forms to hard drive or to a disk



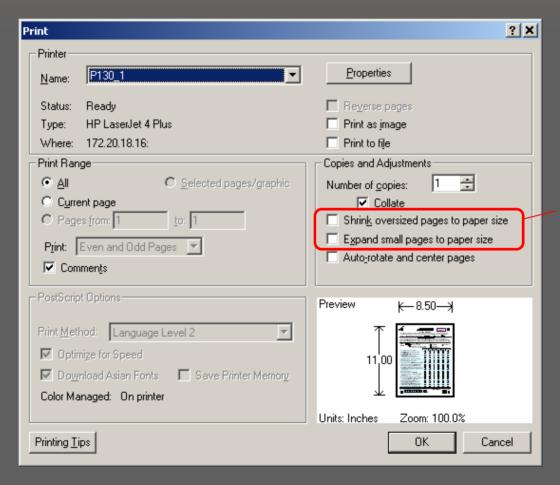
## Image Quality Critical For Scan & Verify

- Scan & verify technology demands good image quality
- Copies are NEVER as sharp as originals
- ⇒ Print each survey form needed directly from Adobe file. Do not make copies
- Can take disk to copy shop & have forms printed from file

#### More Printing Instructions

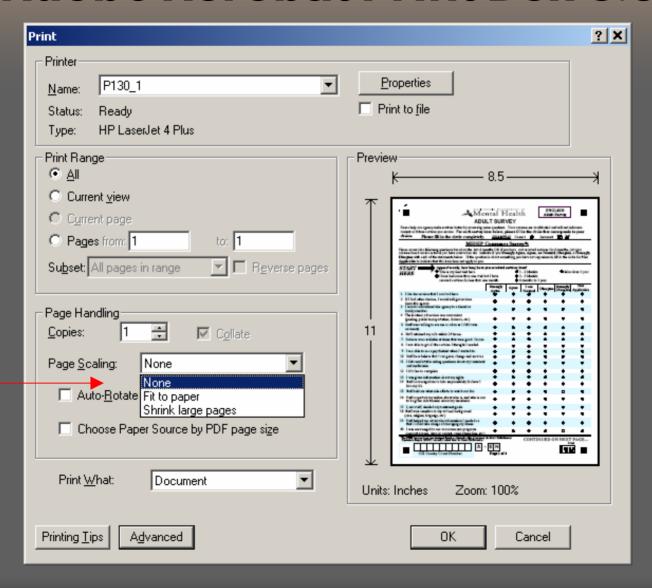
⇒ Before downloading UNCHECK box "Shrink oversize pages to paper size" (Acrobat 5) OR Page scaling box shows 'None' (Acrobat 6 & 7)

#### Adobe Acrobat Print Box 5.0

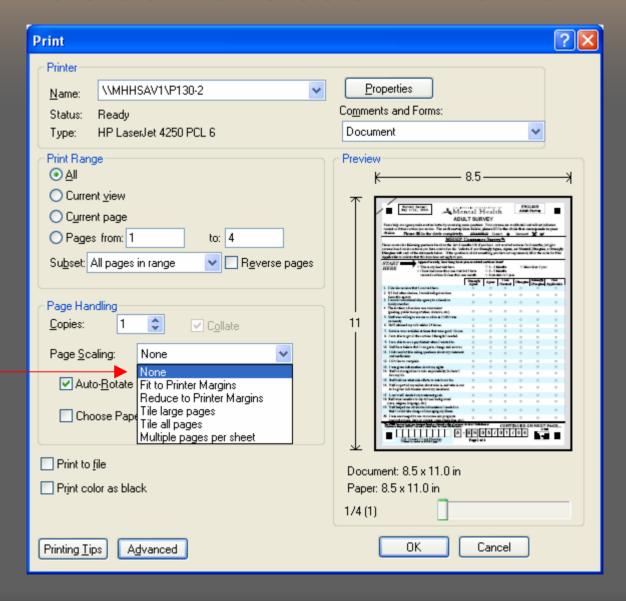


Make sure these boxes are NOT CHECKED

#### Adobe Acrobat Print Box 6.0



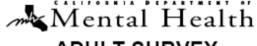
#### Adobe Acrobat Print Box 7



#### Collate & Staple



Survey Dates: May 1-12, 2006



**ENGLISH** Adult Survey

O More than 1 year



#### ADULT SURVEY

Please help our agency make services better by answering some questions. Your answers are confidential and will not influence current or future services you receive. For each survey item below, please fill in the circle that corresponds to your choice. Please fill in the circle completely. EXAMPLE: Correct Incorrect X

#### MHSIP Consumer Survey\*:

Please answer the following questions based on the last 6 months OR if you have not received services for 6 months, just give answers based on the services you have received so far. Indicate if you Strongly Agree, Agree, are Neutral, Disagree, or Strongly Disagree with each of the statements below. If the question is about something you have not experienced, fill in the circle for Not Applicable to indicate that this item does not apply to you.

START HERE

Approximately, how long have you received services here?

- O This is my first visit here.
- O 1 2 Months
- O 3 5 Months
- O I have had more than one visit but I have received services for less than one month.
- O 6 months to 1 year

	Strongly Agree	Agree	I am Neutral	Disagree	Strongly Disagree	Not Applicable
<ol> <li>I like the services that I received here.</li> </ol>	Ö	0	0	0	0	0
<ol><li>If I had other choices, I would still get services from this agency.</li></ol>	0	0	0	0	0	0
<ol> <li>I would recommend this agency to a friend or family member.</li> </ol>	0	0	0	0	0	0



#### DATA COLLECTION

#### Survey Administration

- ⇒ Use volunteer/peer advocate
- ⇒ Do NOT use clinical or service delivery staff
- Need to understand importance of consumer input
- Non staff may need Business Associate Agreement (HIPAA)



### Good Data Come from Well Trained Staff

- Train the people who will collect the data
- Train re: Form type (right age, right language)
- Train to review form when turned in



#### How to Mark Teleform Surveys

- Staff need to know correct way to mark forms
  - 1. To help consumers complete survey forms
  - 2. "For Office Use Only" section on each form

### Marking Survey Form

- **⇒** THINK **BUBBLES!**
- Only one answer (bubble) for most questions



Correct



Incorrect





#### Making Corrections



- **⇒** Think X'S!!
- Correct mistakes by drawing an "X" over the incorrect entry





O Other

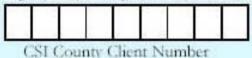
#### County Completed Items

- CSI County Client Number (CCN)
- County code
- Date of survey
- When applicable, why consumer did not complete form
- Optional County Questions

### CSI County Client Number / AKA Page Linking Number

*The MHSIP Consumer Survey was developed through a collaborative effort of consumers, the Mental Health Statistics			CONTINUED ON NEXT PAGE			
<ol> <li>I was encouraged to use consumer-run programs (support groups, drop-in centers, crisis phone line, etc.).</li> </ol>	0	0	0	0	0	0
<ol> <li>Staff helped me obtain the information I needed so that I could take charge of managing my illness.</li> </ol>	0	0	0	0	0	0
<ol> <li>Staff were sensitive to my cultural background (race, religion, language, etc.).</li> </ol>	0	0	0	0	0	0
17. I, not staff, decided my treatment goals.	0	0	0	0	0	0







Page 1 of 4



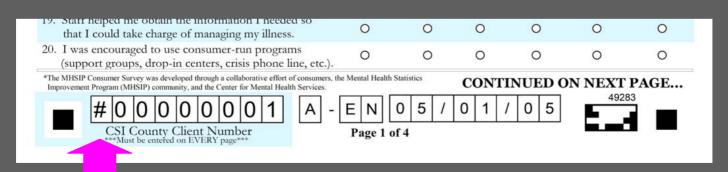
#### County Client Number

- ⇒ Right justify!!
- ⇒ No Empty boxes

17. I, not staff, decided my treatment goals.	0	0	0	0	0	0
18. Staff were sensitive to my cultural background (race, religion, language, etc.).	0	0	0	0	0	0
19. Staff helped me obtain the information I needed so that I could take charge of managing my illness.	0	0	0	0	0	0
20. I was encouraged to use consumer-run programs (support groups, drop-in centers, crisis phone line, etc.).	0	0	0	0	0	0
*The MHSIP Consumer Survey was developed through a collaborative effort of consumers, the Improvement Program (MHSIP) community, and the Center for Mental Health Services.	e Mental Health	Statistics	CONTIN	NUED O	N NEXT P 59605	AGE
SI County Client Number	Page 1 o	f 4				

#### 'Faux' County Client Number

- Create a fictitious CCN for clients who lack one
- ⇒Start with the '#' sign
- **Example:**



### For Office Use Only

8.) Please identify who helped you complete any part of t	his survey (Choose all that apply):						
O I did not need any help. O A mental health advocate / volunteer helped me. O Another mental health consumer helped me. O A member of my family helped me.  Thank you for taking the a	<ul> <li>○ A professional interviewer helped me.</li> <li>○ My clinician / case manager helped me.</li> <li>○ A staff member other than my clinician or case manager helped me.</li> <li>○ Someone else helped me. Who?:</li> </ul>						
	ICE USE ONLY:						
REQUIRED Information:  County Code:  Date of Survey Administration:  1 1 - 2 0 0 4  Reason (if applicable):  Ref O Imp O Lan O Oth O  Make sure the same CSI County Client Number is written on all four pages of this survey.	Optional County Questions:  County Question #1:  001 002 003 004 005 006 007 008 009 010 011 012 013 014 015 016 017 018 019 020  County Question #2:  001 002 003 004 005 006 007 008 009 010 011 012 013 014 015 016 017 018 019 020  County Question #3:  001 002 003 004 005 006 007 008 009 010 011 012 013 014 015 016 017 018 019 020  Draft						
CSI County Client Number	Page 4 of 4						

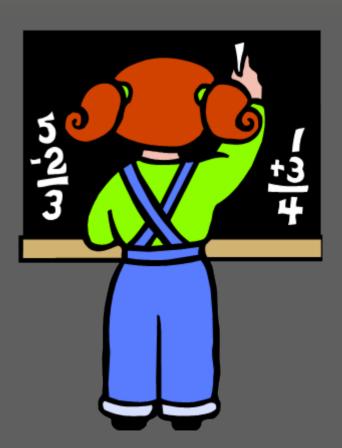
# Reasons For Not Completing Form



- Dog ate form (NOT a choice!)
- **⇒** 4 REASONS
  - 1. Refused
  - 2. Impaired
  - 3. Language
  - 4. Other

# Completion Rates Important

- Required for Federal Block Grant
- Completion rates =surveys completed/surveys administered



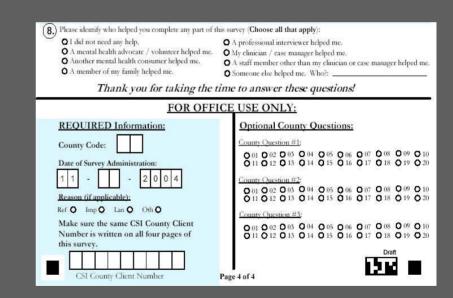
# County Client Number Again

8. Please identify who helped you complete any part of to I did not need any help.  O A mental health advocate / volunteer helped me. O Another mental health consumer helped me. O A member of my family helped me.  Thank you for taking the interpretation of the second	this survey (Choose all that apply):  O A professional interviewer helped me. O My clinician / case manager helped me. O A staff member other than my clinician or case manager helped me. O Someone else helped me. Who?:  time to answer these questions!
FOR OFFICE USE ONLY:	
REQUIRED Information:  County Code:  Date of Survey Administration:  1 1 - 2 0 0 4  Reason (if applicable):  Ref Q Imp Q Lan Q Oth Q  Make sure the same CSI County Client Number is written on all four pages of this survey.	Optional County Questions:  County Question #1:  001 002 003 004 005 006 007 008 009 010 011 012 013 014 015 016 017 018 019 020  County Question #2: 001 002 003 004 005 006 007 008 009 010 011 012 013 014 015 016 017 018 019 020  County Question #3: 001 002 003 004 005 006 007 008 009 010 011 012 013 014 015 016 017 018 019 020  Draft
Ref O Imp O Lan O Oth O  Make sure the same CSI County Client Number is written on all four pages of	County Question #3:  01 02 03 04 05 06 07 08 0 01 012 013 04 015 016 017 018 0

Page 4 of 4

# Optional County Questions

- ⇒ 3 Optional county questions
- Last page of every form
- ◆ Only one answer (bubble) for each question (20 possible codes)



#### Confidentiality of Data

- State laws provide consumers with privacy rights
- ⇒ HIPAA provides protection and prison sentences
- → Data are confidential and must be protected
- → After surveys turned in, handle in confidential manner



#### DATA SUBMIMISSION

### 3 Data Submission Options

- → Option 1: On-line key/mouse data entry (Key Entry)
- ⇒ Option 2: Local scanning & web-based Data verification (Scan & Verify)
- ⇒ Option 3: ITWS Web-based text data upload (ITWS)

#### System Authorization

- ⇒ 2 separate Authorization Processes
  - POQI Authorization for Key entry
     Scan & Verify option
    - 2. ITWS Authorization

#### System Authorization

- ⇒ Every county has an 'Approver'
- Names of Approvers on file w/ITWS email: itws@dmh.ca.gov
- ⇒ 'Approver' submits list of people to be authorized for both processes
- → Must RENEW every data collection period

#### POQI Authorization

- ⇒ For people accessing key entry system
- ⇒ For people Scanning documents
- ⇒ For people Verifying documents
- **⇒** List of people sent by Approver to <u>poqi.support@dmh.ca.gov</u>
- Must include name, email address, tel number

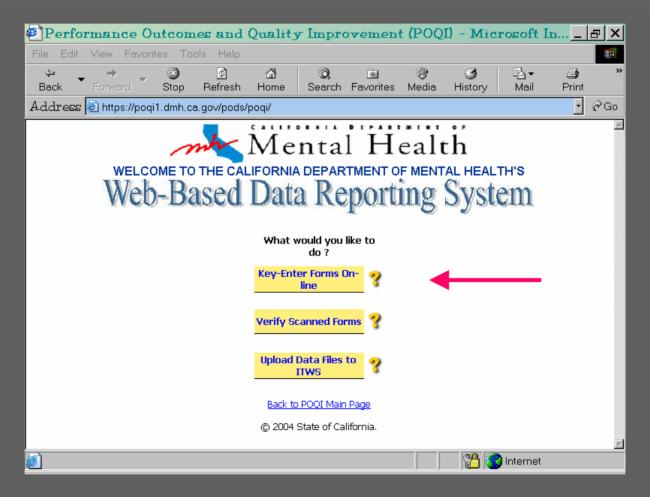
#### ITWS Authorization

- Limit number of people authorized
- Only those who will upload & download should have ITWS authorization (NOT everybody needs to be authorized)
- **⊃**Go to: <a href="https://mhhitws.cahwnet.gov/choose 'Enroll' and follow instructions">https://mhhitws.cahwnet.gov/choose 'Enroll' and follow instructions</a>

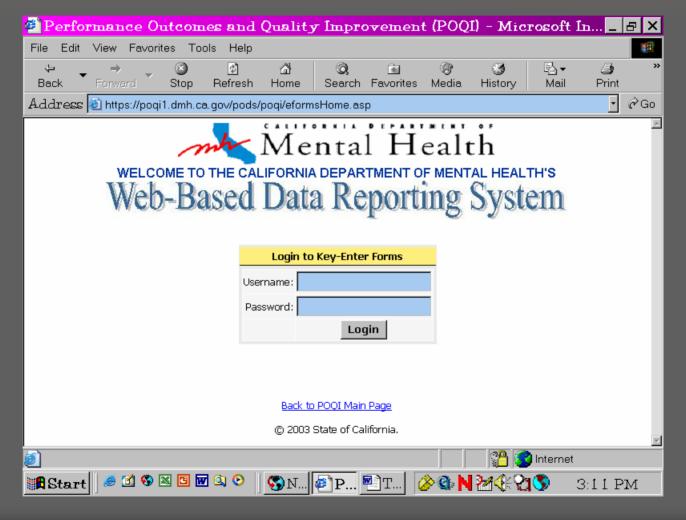
# Option 1 Online Key-Mouse Data Entry

- Start by opening your web browser
- ⇒ Go to POQI website at <a href="https://poqi1.dmh.ca.gov/pods/poqi/">https://poqi1.dmh.ca.gov/pods/poqi/</a>

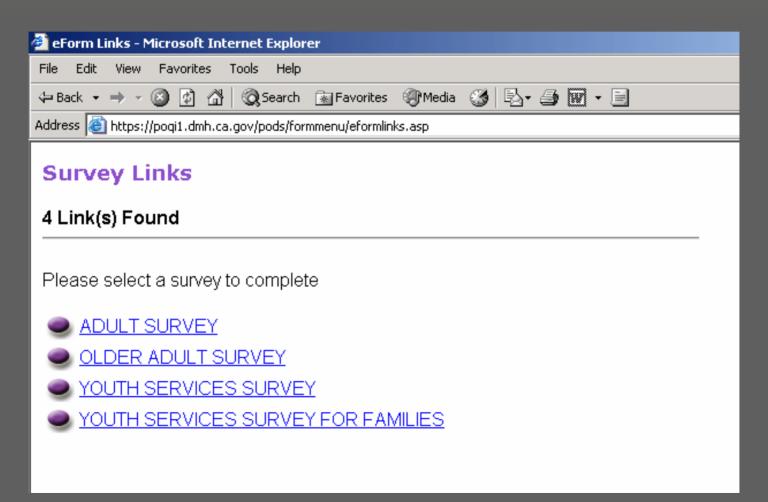
### Option 1: Key Enter Forms



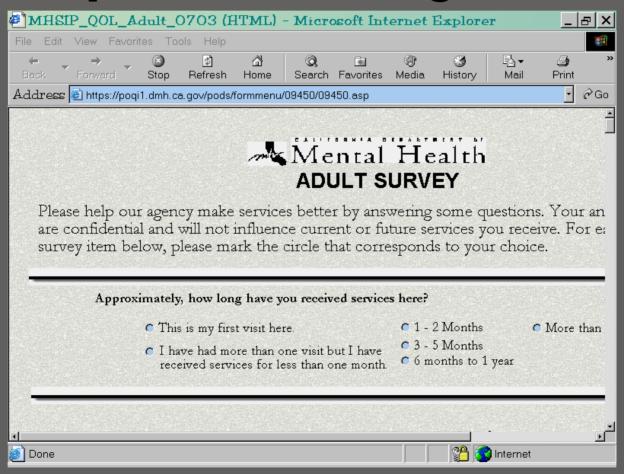
### Option 1: Logging in



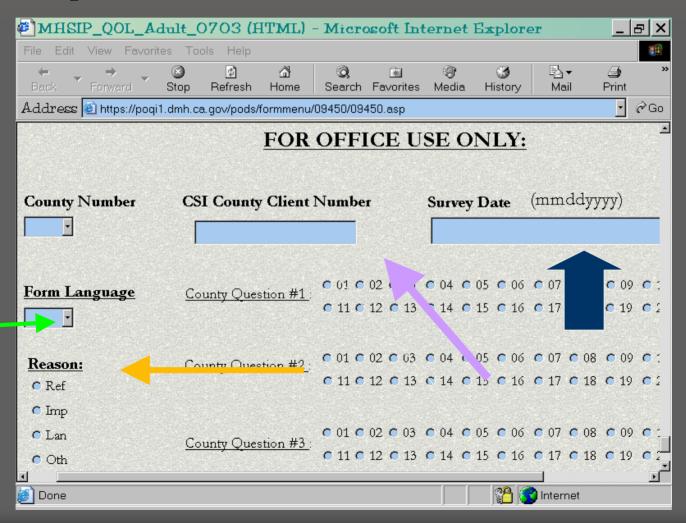
# Option 1: Select Survey



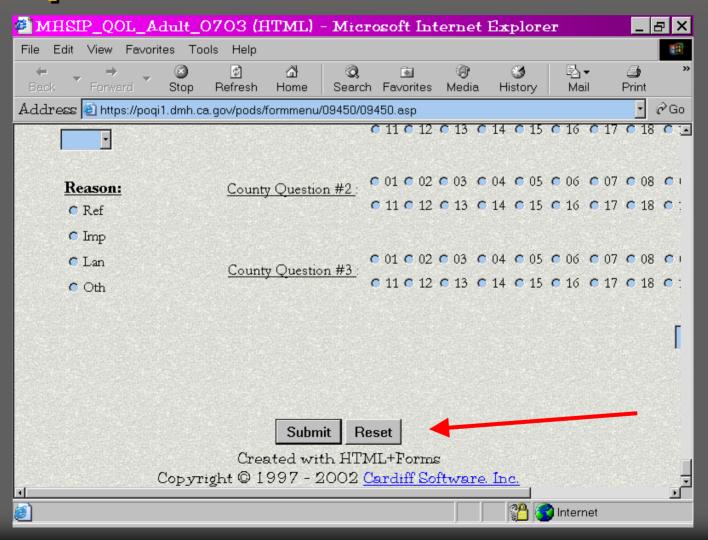
#### Option 1 Entering Data



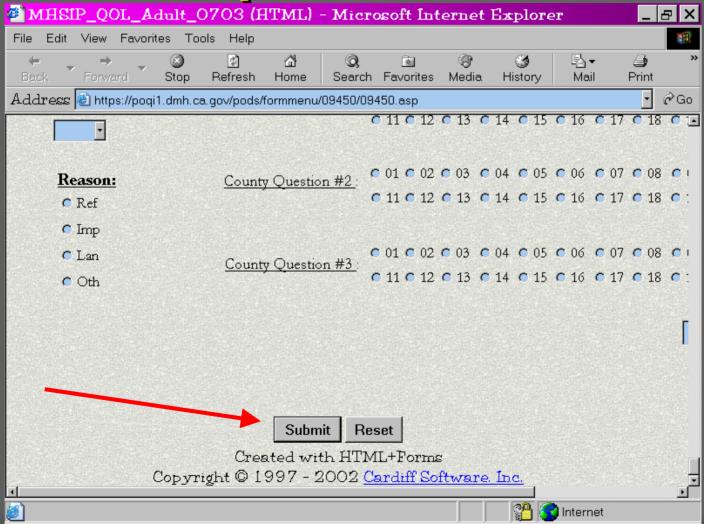
### Option 1: "For office Use Only"



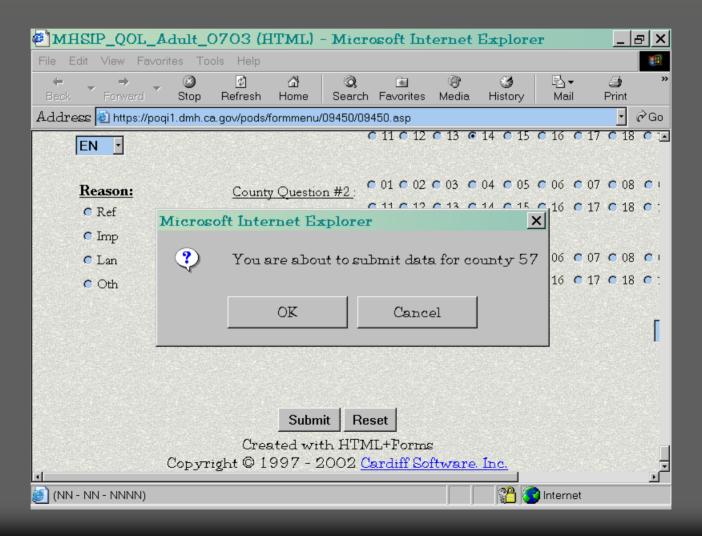
#### Option 1: Reset Clears all Data



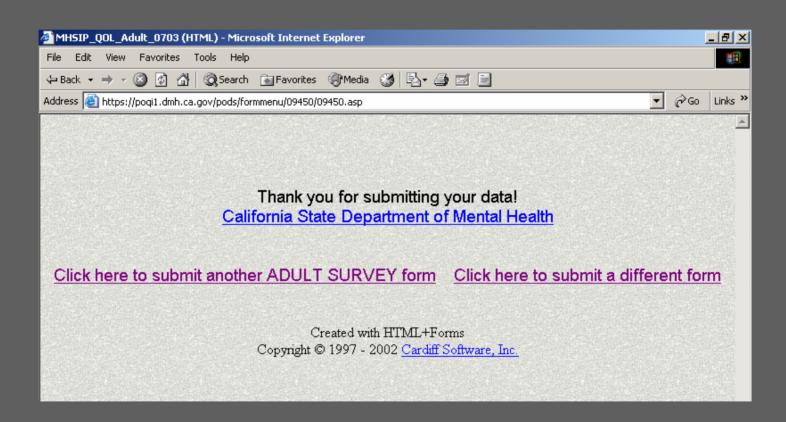
#### Option 1: Submit



# Option 1: Submit



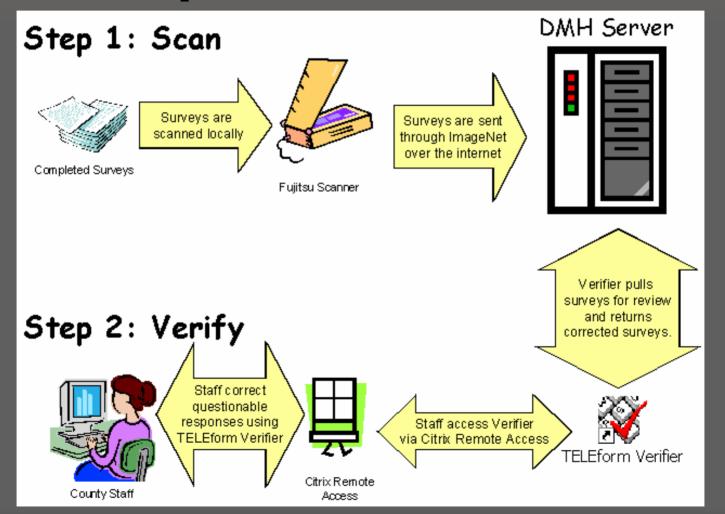
#### Option 1: Success!!



#### Option 2: Scan & Verify

- ⇒ Very Cool
- Counties need special equipment Fujitsu Scanner ImageNet Scan v. 4.5 (Software) Citrix ICA Web Client

#### Option 2: Overview



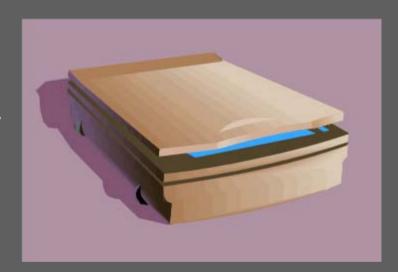
#### Option 2: Form Preparation

Cut staples off , not corner 'Posts'

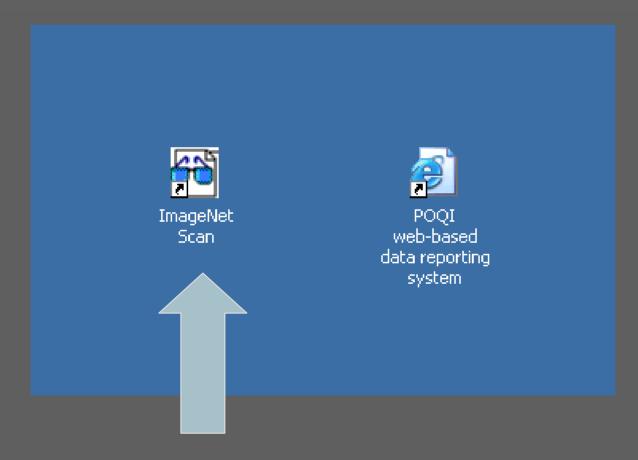
- No dog-ears
- CCN = page linking number = same on each page (Make sure!!)
- Keep pages of one form together in one batch

## Option 2: More Preparation

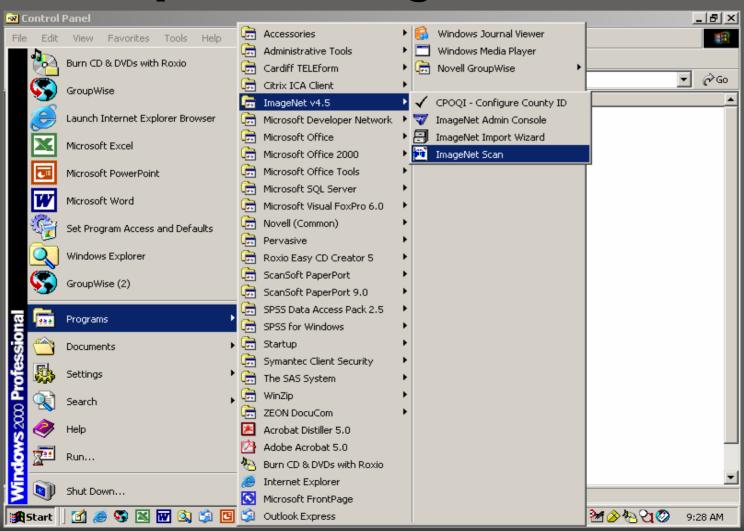
- No more than 50 pages in feeder at one time
- ⇒ If processor <Pentium IV, scan smaller batches
  </p>
- ▶ Put batch of surveys face down, top of page entering first (Fan them)



# Option 2: Log In With Icon



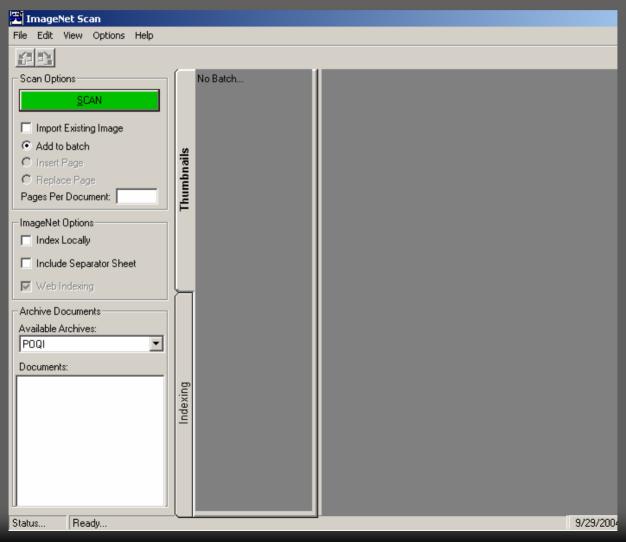
#### Option 2: Log In, No Icon



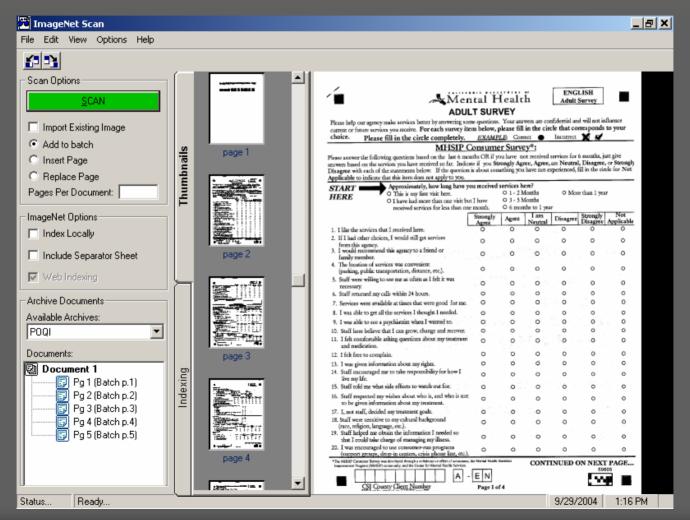
# Option 2: Log In Box



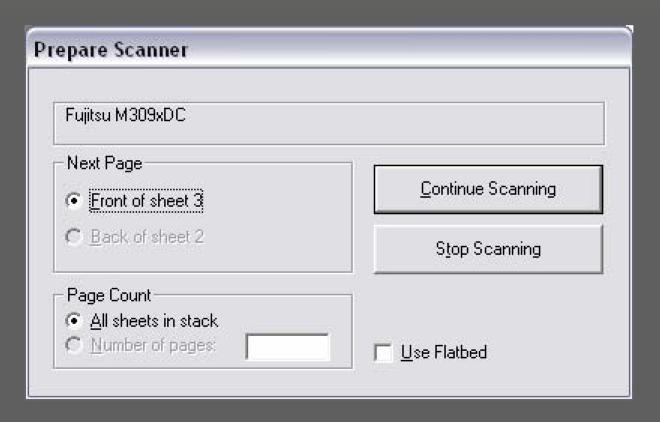
# Option 2: ImageNet Scan



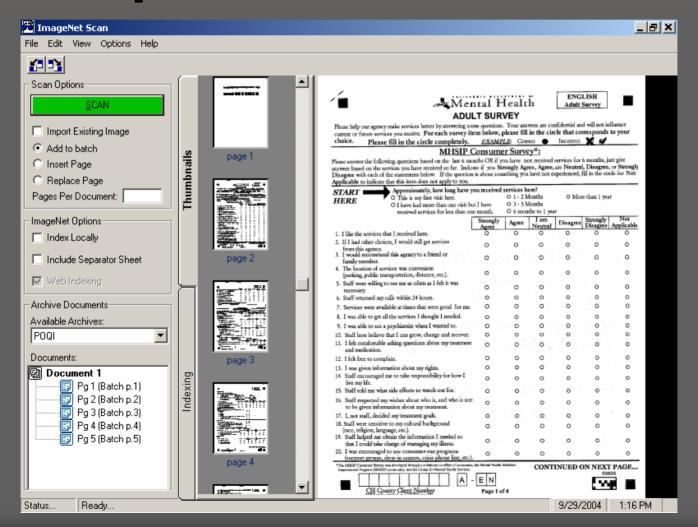
# Option 2: Scanning Forms



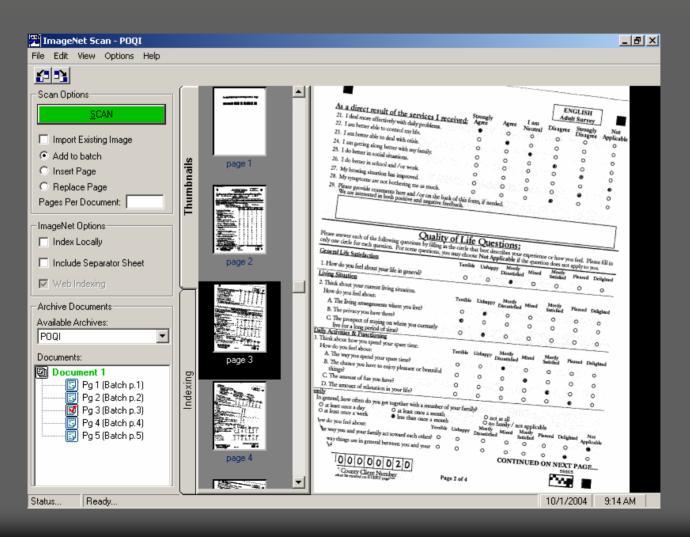
#### Option 2: Prepare Scanner Box



#### Option 2: Thumbnails



# Option 2: Reviewing Images



## Option 2: Deleting Images

New Document
Delete Separator Page
Copy Page
Paste
Delete Pages
Rescan Page

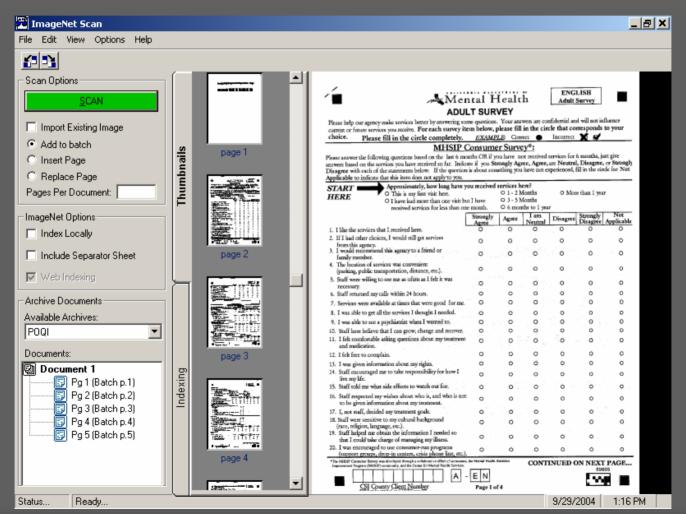


#### Option 2: Rescan Bad Forms

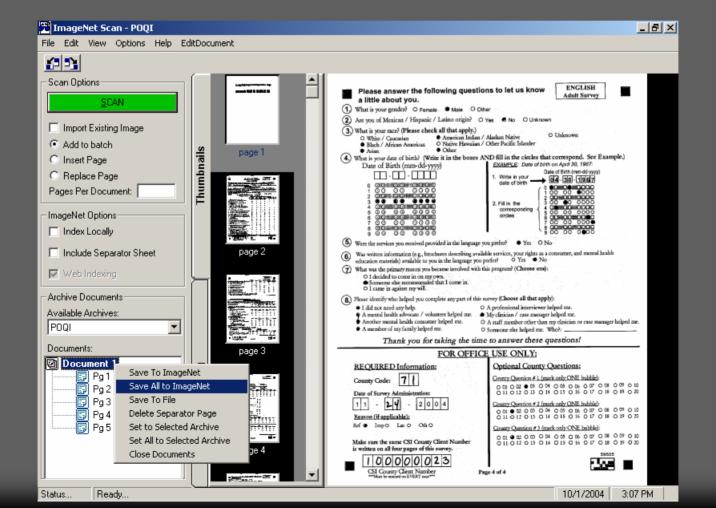
→ After delete image, rescan original page



# Option 2: Exporting Data



### Option 2: Saving

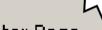


### Option 2: Saving Export

Save To ImageNet

#### Save All to ImageNet

Save To File



Delete Separator Page

Set to Selected Archive

Set All to Selected Archive

Close Documents

### Option 2: To End Scanning

- ⇒ After export, can scan more batches
- **○** Or not
- ⇒ To end scanning, go to 'File>Exit'

## Option 2: Logging in to Teleform Verifier



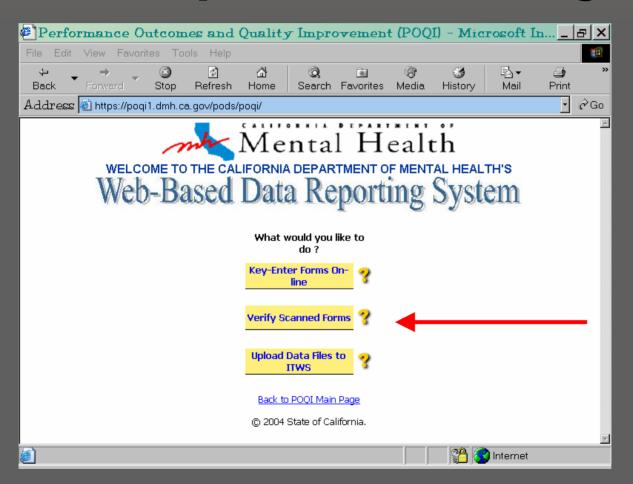
#### Option 2: Log-in, No Icon

Open your internet browser and type:

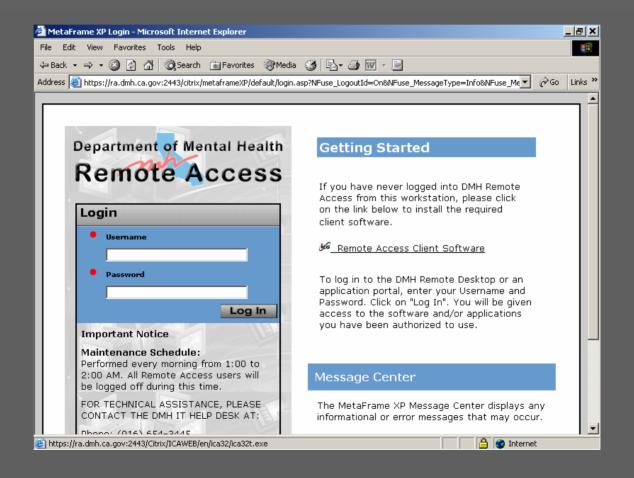
https://poqi1.dmh.ca .gov/pods/poqi/



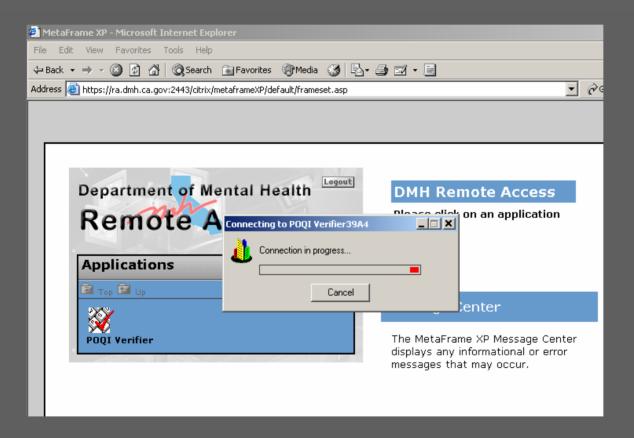
### Option 2: DMH Page



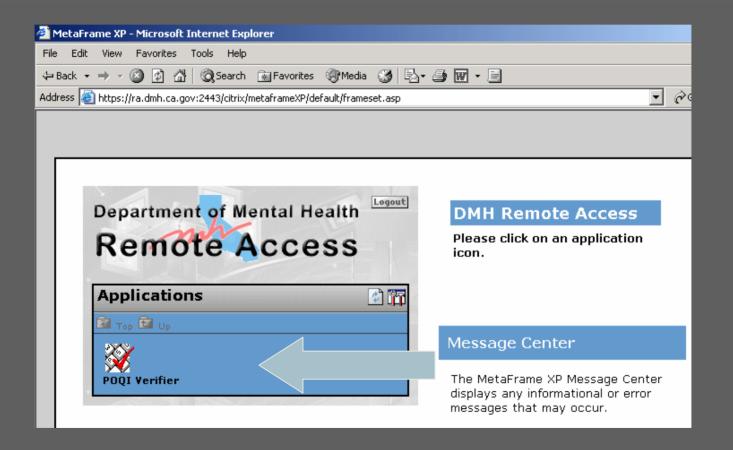
### Option 2: Remote Access Log in



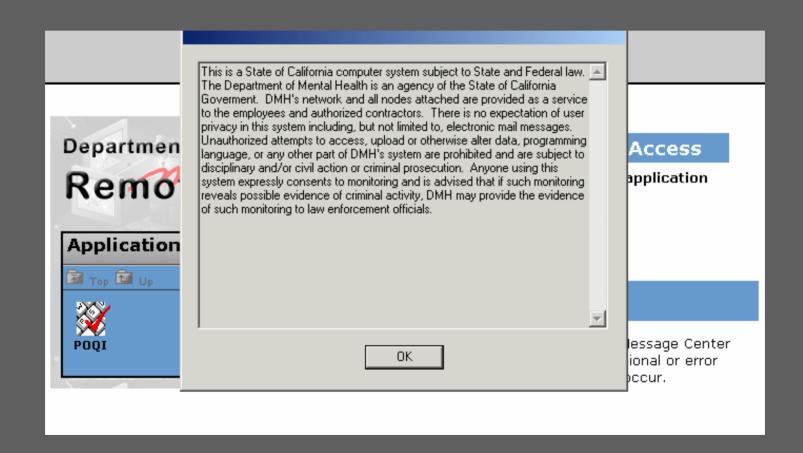
### Option 2: Connecting...



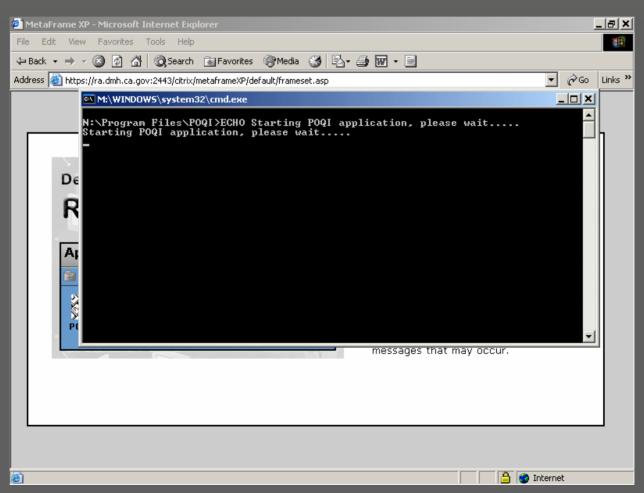
### Option 2: POQI Verifier



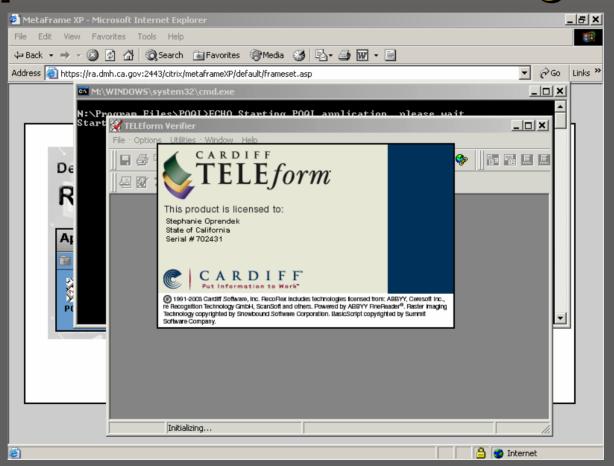
### Option 2: Security Warning



### Option 2: DOS Screen



### Option 2: Still connecting...



### Option 2: Log in to Verifier

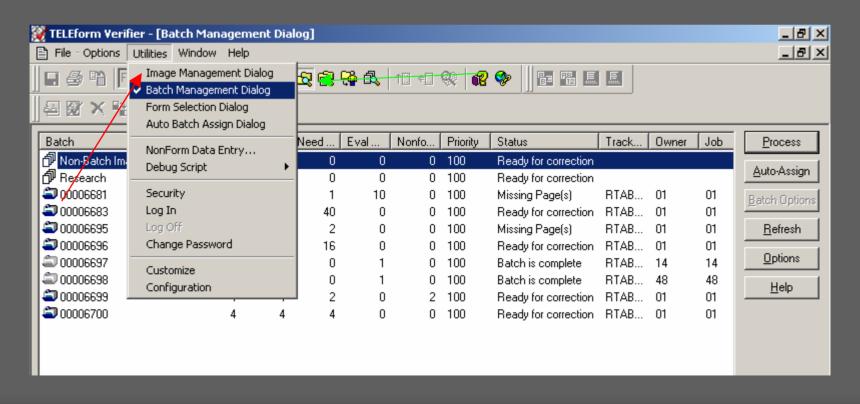
TELEform Login						×
	Name	USERID				
	Password	******				
			[	OK	Cancel	

### Option 2: Two Methods to Verify

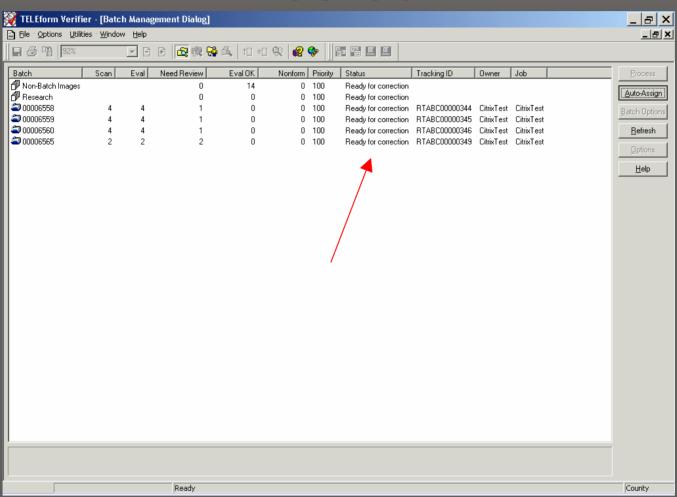
- Batch Management
- Image Management



# Option 2: Getting to Batch Management



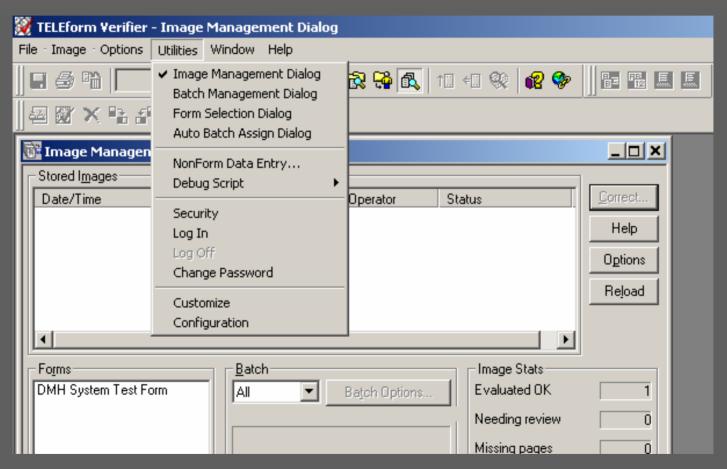
## Option 2: Batch Management Screen



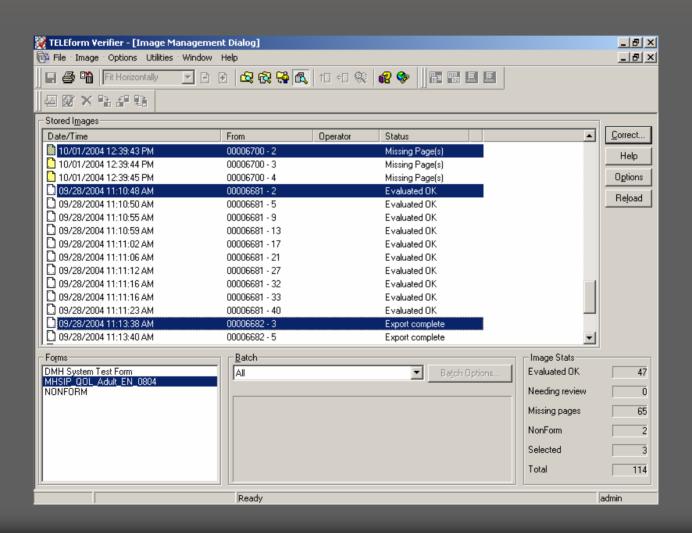
#### Option 2: Status of Batches

- Ready for Correction
- ➡ Missing pages
- Ready to be Committed
- **⇒** Batch is Completed (Yea!)

# Option 2: Getting to Image Management



### Option 2: Image Wanagement Screen



### Option 2: Status of Surveys

- ⇒ Needs Review
- Missing Page
- Evaluated OK (but not ok!!)
- ⇒ Export Complete (Yea!)

# Option 2: Reviewing & correcting in Image Management

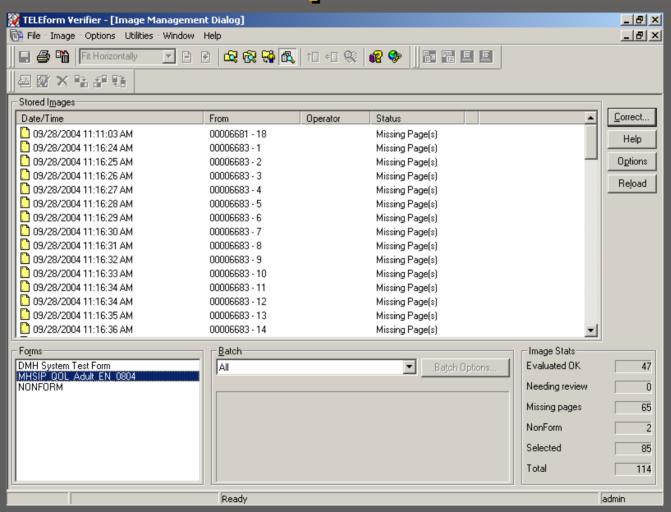


⇒ 2 Ways to review & correct

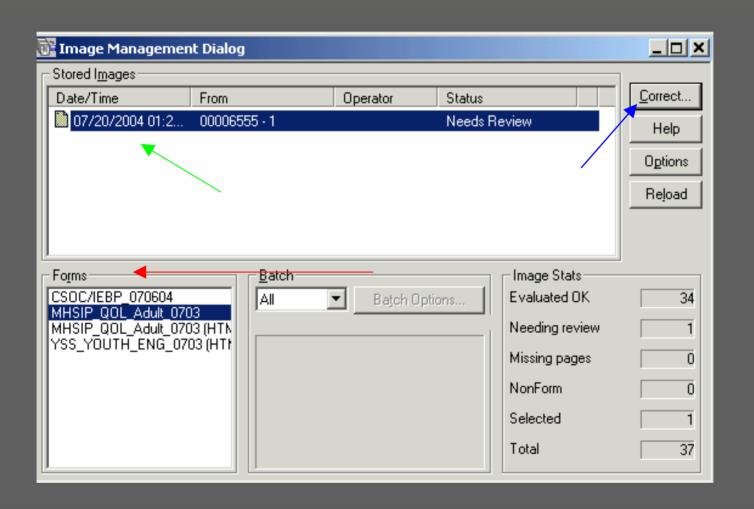
1. Multiple Surveys

2. Individual Survey

# Option 2: Image Management Multiple Review



### Option 2: Image Management Individual Review



## Option 2: Correcting Forms in Teleform Verifier

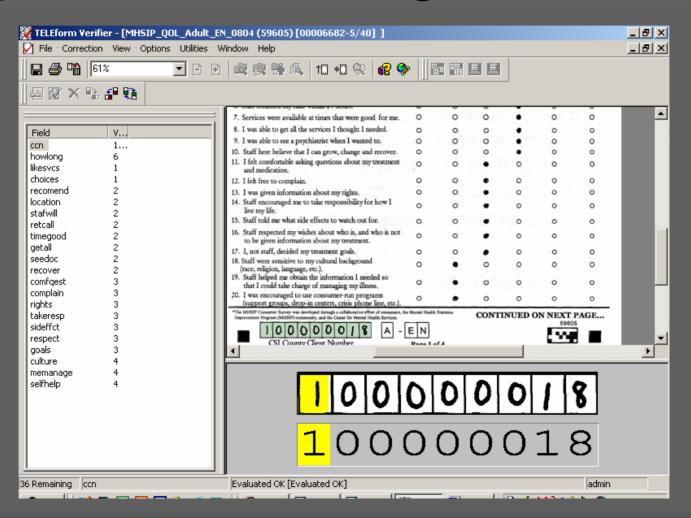
- ⇒ Teleform only presents fields that need review
- Teleform will make a 'best guess' about what is correct response
- Up to person doing the verifying to make final determination

### Option 2: Correcting Surveys: Form Mode

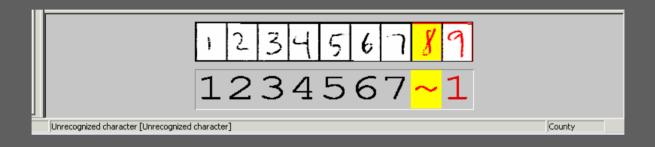
Streamline Verifying: Form Mode

⇒ Use Tab key, Space Bar, Enter key, Arrow keys to navigate thru fields

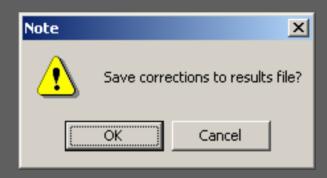
### Option 2: Correcting - Form Mode



# Option 2: Correcting Entry Fields With Unrecognizable Entries



### Option 2: Saving Corrections



### Option 2: After Saving

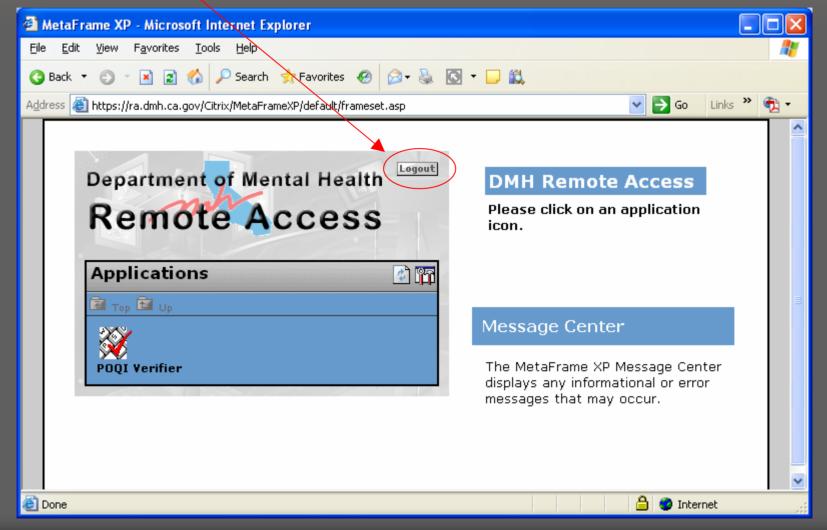
In Batch Management mode: Congratulations Message (Click 'OK' or 'Cancel')

In Image Management Mode: Another form or Image Management Window

#### Option 2: Exiting Teleform

- **⊃** To close the Teleform Verifier click File>Exit
- To exit Teleform Verifier in middle of correcting, click File>Exit and a prompt will ask you if you want to save completed correction, click 'OK'

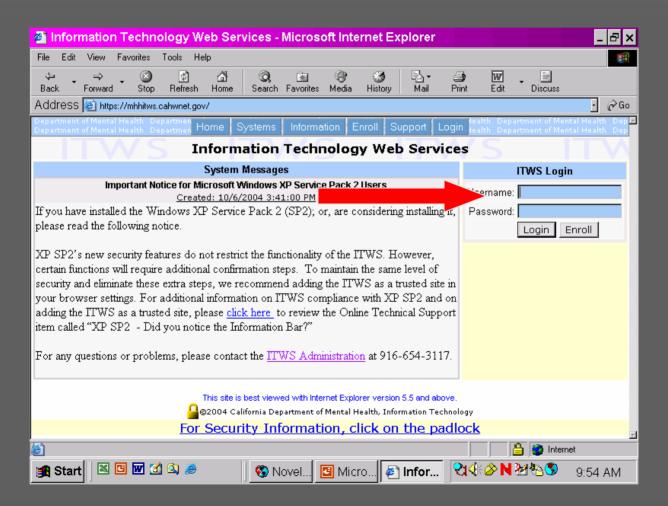
Once the TeleForm application is closed, make sure you press the Logout button to close your Citrix session.



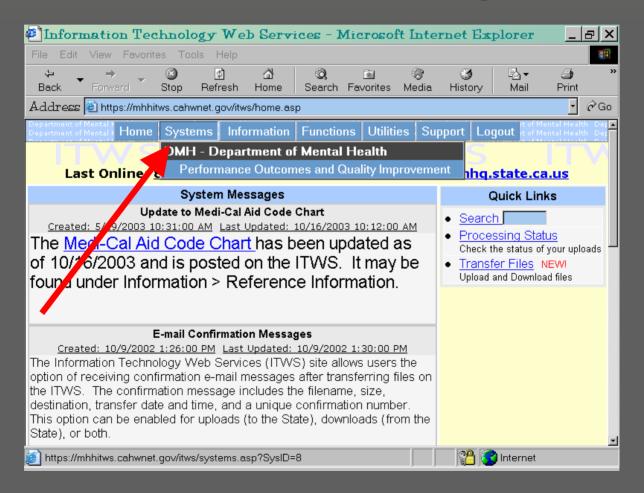
### Option 3: ITWS

- Web-based text data upload
- ⇒ Authorized user accesses the ITWS site by using web browser to go to https://mhhitws.cahwnet.gov/

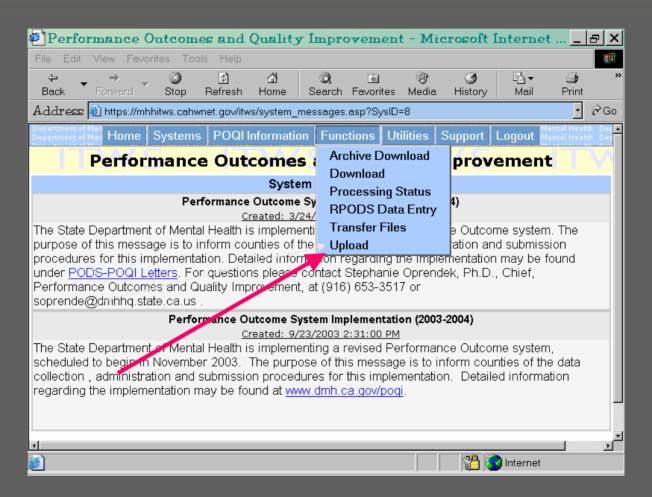
### Option 3: Accessing ITWS



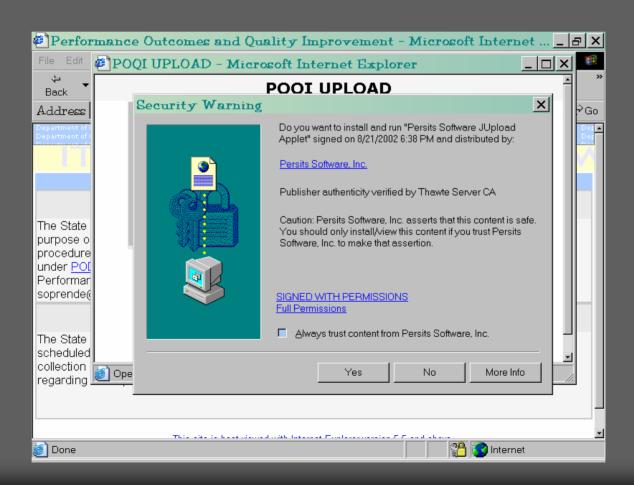
### Option 3: Accessing ITWS



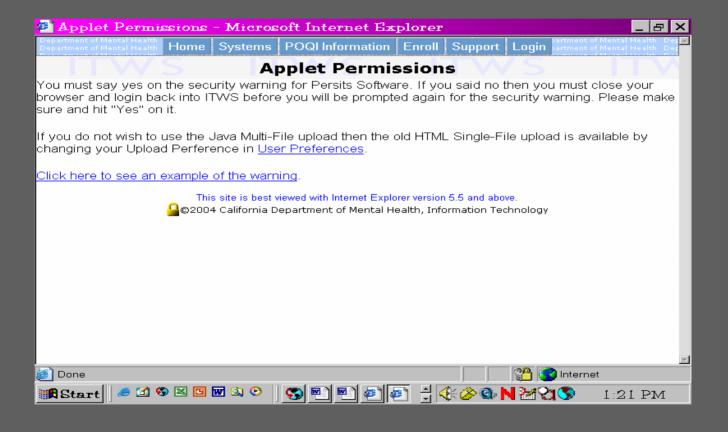
### Option 3: Accessing ITWS



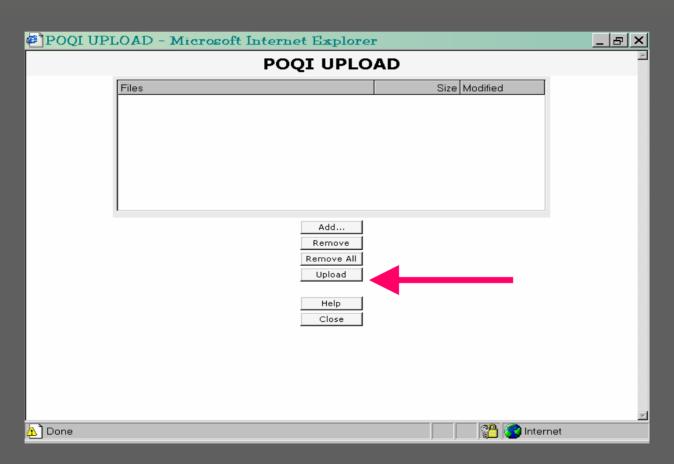
### Option 3: Security Warning



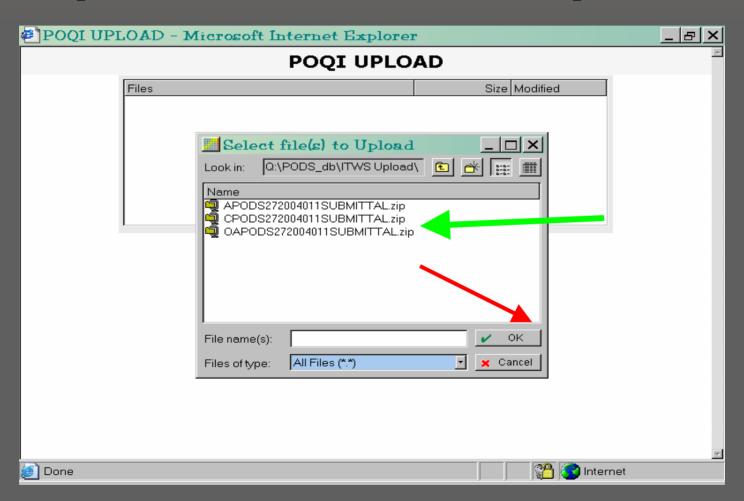
### Option 3: Security Warning



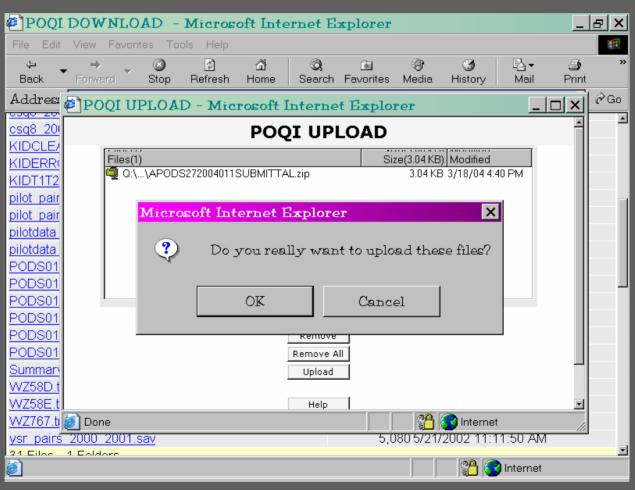
# Option 3: POOI Upload



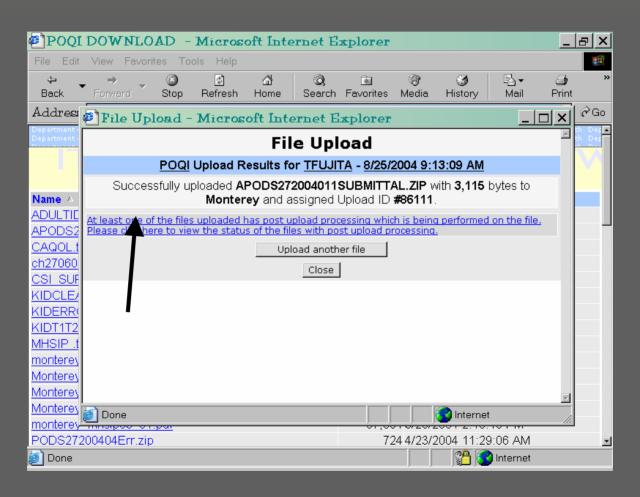
### Option 3: Find File to Upload



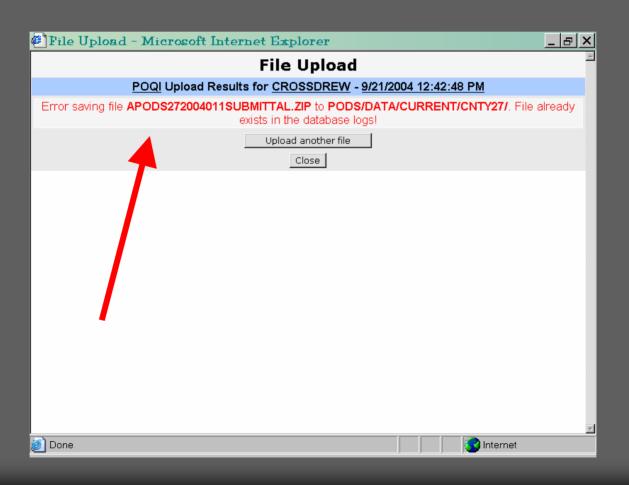
# Option 3: Uploading



### Option 3: Good News (Black)



# Option 3: Bad News (Red)



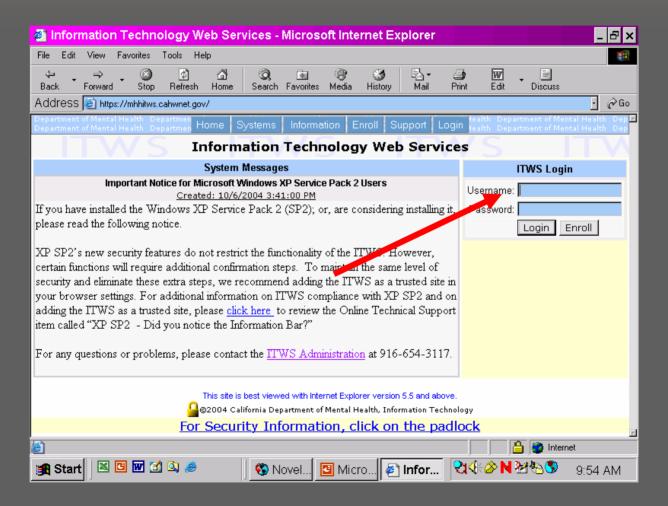


## Getting Your Data Back

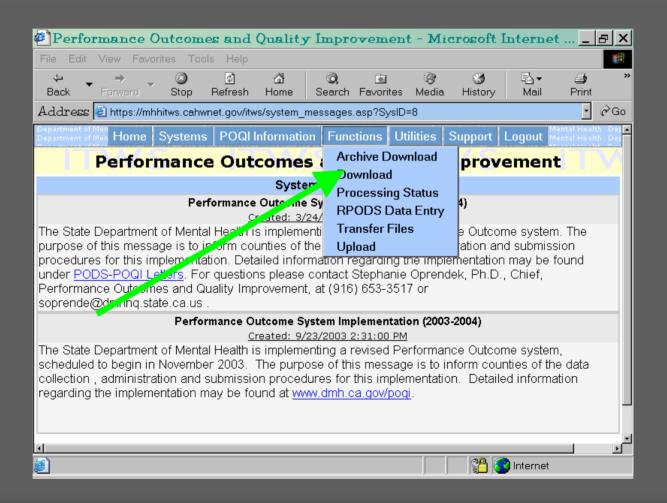
### Getting Data Back

- ⇒ All counties must have completed data submission by July 31, 2006
- ⇒ If EVERYONE gets data in by July 31, data can be returned by August 7<sup>th</sup>
- To Get data Backonline and go to https://mhhitws.cahwnet.gov

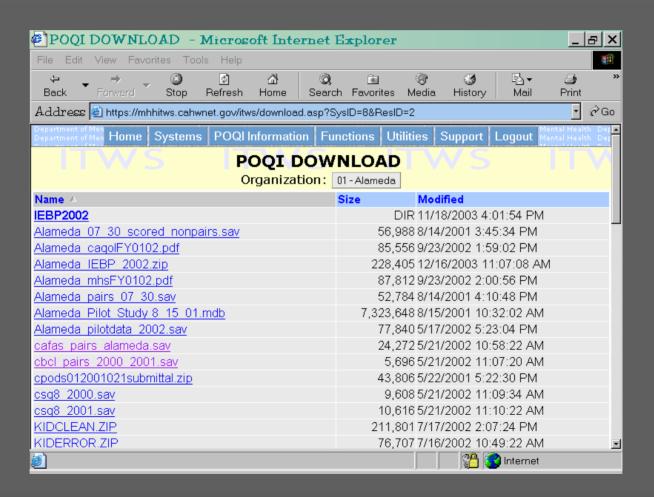
# Getting Data Back – Accessing ITWS



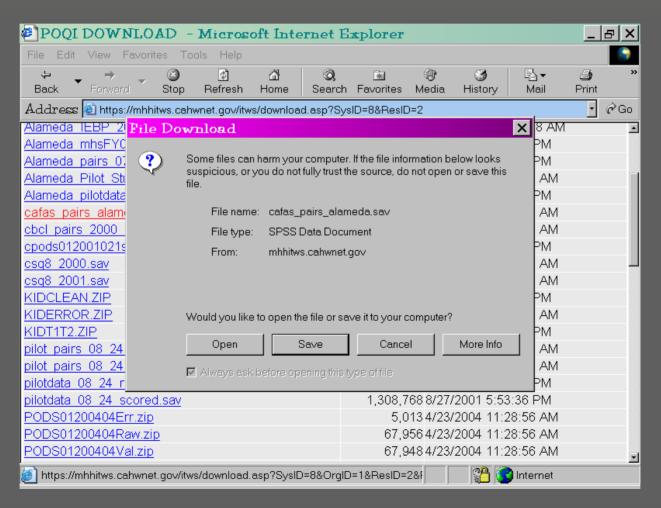
### Getting Data Back - Downloading



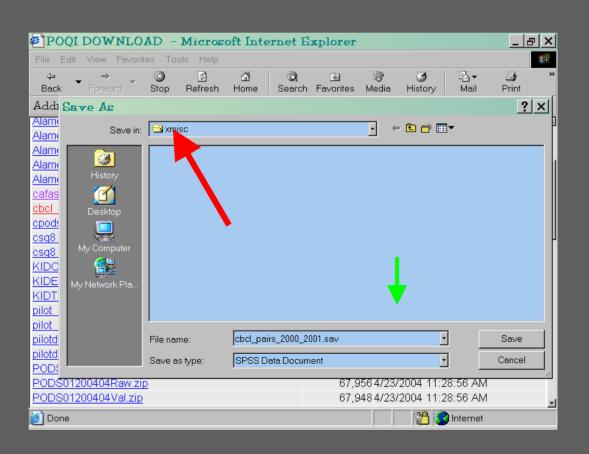
### Getting Data Back - Finding it



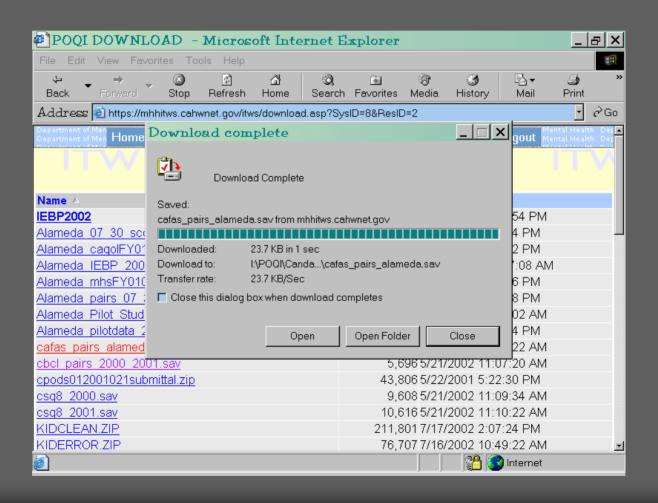
### Getting Data Back



### Getting Data Back - Saving it



### Getting Data back - Downloading



#### Data Collection Time Frame

Monday
May 1, 2006
thru
Friday
May 12, 2006



### Geiting help



- Alice Chen916-654-3560
- Minerva Reyes916-654-3685
- Candace Cross-Drew 916-653-4582
- Traci Fujita916-653-3300
- Brenda Golladay916-654-3291
- Marti Johnson 916-654-3115
- Kari Yoshizuka916-653-5174

General POQI email: <a href="mailto:poqi.support@dmh.ca.gov">poqi.support@dmh.ca.gov</a>